Fully Differential Difference Amplifier based Microphone Interface Circuit and
an Adaptive Signal to Noise Ratio Analog Front end for Dual Channel Digital
Hearing Aids.

by

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of the Requirements for the Degree
Doctor of Philosophy

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ABSTRACT

A dual-channel directional digital hearing aid (DHA) front-end using a fully differential difference amplifier (FDDA) based Microphone interface circuit (MIC) for a capacitive Micro Electro Mechanical Systems (MEMS) microphones and an adaptive-power analog front end (AFE) is presented. The Microphone interface circuit based on FDDA converts the capacitance variations into voltage signal, achieves a noise of 32 dB SPL (sound pressure level) and an SNR of 72 dB, additionally it also performs single to differential conversion allowing for fully differential analog signal chain. The analog front-end consists of 40dB VGA and a power scalable continuous time sigma delta ADC, with 68dB SNR dissipating 67uW from a 1.2V supply. The ADC implements a self calibrating feedback DAC, for calibrating the 2nd order non-linearity. The VGA and power scalable ADC is fabricated on 0.25 um CMOS TSMC process. The dual channels of the DHA are precisely matched and achieve about 0.5dB gain mismatch, resulting in greater than 5dB directivity index. This will enable a highly integrated and low power DHA.
DEDICATION

I dedicate this work to the continuous encouragement of my parents especially my father to complete this work. To my wife and children for their patience in enduring through this decade long process.
ACKNOWLEDGMENTS

I want to specially acknowledge the infinite patience shown by Sayfe Kiaei, for allowing me to complete this work. He continuously nudged and prodded me to complete and readily allowed me to work around my crazy life schedule. Without his patience, I would not have been able to complete this.

I would also like to thank my sister-in-law Nida Tanveer for helping with MS WORD related questions.
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>LIST OF TABLES</th>
<th>viii</th>
</tr>
</thead>
<tbody>
<tr>
<td>LIST OF FIGURES</td>
<td>ix</td>
</tr>
</tbody>
</table>

## 1. INTRODUCTION

1.1. A brief Overview of Digital Hearing Aid System Architectures and Issues ............................................................... 2

1.2. Proposed Directional Digital Hearing Aid System Architectures ..... 8

1.3. Contributions: FDDA based MEMS interface Circuit, self calibrating DAC for an adaptive power scaling ADC. ................................................. 14

1.4. Thesis Outline ........................................................................................................................................... 14

## 2. SYSTEM ARCHITECTURE FOR MEMS MICROPHONE INTERFACE

2.1. Overview of the MEMS Interface System Architecture .......... 15

2.2. CMOS MEMs Microphone ............................................................... 16

2.3. MEMs Microphone Behavioral Model ........................................ 19

## 3. OVERVIEW OF SENSING CAPACITANCE ARCHITECTURES

3.1. Overview of Capacitive Sensing Architectures ......................... 23

3.2. Proposed MEMS Microphone Interface Architecture ................. 25

3.2.1. CTV Architecture Based on FDDA ............................. 25

3.2.2. Fully Differential Difference Amplifier(FDDA) .......... 28

3.2.3. Microphone Interface System Analysis .......................... 33
3.2.4. Microphone Interface Simulation Results ..................33

3.2.5. MEMs Microphone and MEMs Interface Noise Analysis ......................................................36

4. ANALOG FRONT END ARCHITECTURE AND CIRCUIT ................. 38

4.1. Analog Front End(AFE) Architecture Overview ...................... 38

4.2. Variable Gain Amplifier Design ...........................................39

4.3. 4th-order CT $\Sigma\Delta$ ADC Architecture ..........................44

   4.3.1. Behavioral Model of the 4th-order CT $\Sigma\Delta$ ..............47

   4.3.2. Amplifier Non-idealities ........................................49

   4.3.3. Feedback DAC Non-idealities .................................52

   4.3.4. Clock Jitter and Excess Loop Delay .........................52

4.4. 4th-order CT $\Sigma\Delta$ ADC Circuit Design .......................56

   4.4.1. 4th order CT Loop Filter Design ............................56

      4.4.1.1.1. Input Stage Active RC integrator ..................56

      4.4.1.2. $g_m$-C integrator ................................................57

      4.4.1.3. Design $g_m$-C integrator for the NTF Zero .........58

   4.4.2. Quantizer Design ....................................................56

4.5. Proposed Feedback DAC Architecture ............................62

   4.5.1. First Feedback Design and Self Calibration .................64

   4.5.1. Other Feedback DAC Design ........................................73

5. TEST SETUP AND MEASUREMENT RESULTS .......................... 76

6. CONCLUSION AND FUTURE WORKS ........................................82
# LIST OF TABLES

<table>
<thead>
<tr>
<th>Table</th>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Typical architectural requirements for Digital Hearing Aids</td>
<td>8</td>
</tr>
<tr>
<td>2</td>
<td>Noise parameters for the Audio Signal Chain</td>
<td>11</td>
</tr>
<tr>
<td>3</td>
<td>Block Specifications for the DHA</td>
<td>13</td>
</tr>
<tr>
<td>4</td>
<td>CMOS MEMS Microphone Characteristics</td>
<td>17</td>
</tr>
<tr>
<td>5</td>
<td>FDDA Noise Summary Report</td>
<td>36</td>
</tr>
<tr>
<td>6</td>
<td>Coefficients of the proposed loop filter</td>
<td>46</td>
</tr>
</tbody>
</table>
LIST OF FIGURES

Figure                                                                 Page

1: A Typical Digital Hearing System.................................................................2
2: A Polar plot of two directional microphones with mismatch in the audio signal path...........................................................................................................................5
3. Power spectral density of the noise floor in a quite environment...............6
4: Power spectral density of the noise floor in noisy environment............7
5: The proposed dual channel DHA Architecture...........................................9
6: The audio signal chain from input sound pressure in dB SPL to digital bits ..11
7. MEMS Microphone and Preamplifier. .............................................................15
8. 3D view of the MEMS Capacitive Microphone ..............................................17
9. Microphone capacitance change with respect to DC bias. .......................18
10. Acoustic characterization curve of the MEMS microphone....................19
11. Parallel Plate representation of a MEMS Microphone ..............................20
12. First order Electrical Model of the MEMs Microphone ............................22
13. Output response of the MEMs variable cap model..................................22
14. FDDA based MEMS interface circuit.............................................................27
15. Block diagram of a fully differential difference amplifier.......................29
16. Schematic of the Fully differential difference amplifier..........................31
17.: FDDA open loop gain and phase margin simulation results ..................32
18. Dynamic Range Simulation Results ...............................................................34
19. . THD of the differential output @ 1.05kHz.................................................34
<table>
<thead>
<tr>
<th>Figure</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>20. Transient Simulation Results of the Full Signal Path including the VGA with 105 dB SPL input</td>
<td>35</td>
</tr>
<tr>
<td>21. Block diagram of the Analog Front End</td>
<td>38</td>
</tr>
<tr>
<td>22. Gain curves for the VGA for the three different power/SNR settings</td>
<td>40</td>
</tr>
<tr>
<td>23. Block diagram of the VGA, MRC and the feedback resistor</td>
<td>41</td>
</tr>
<tr>
<td>24. VGA output Noise Scaling for different values of the Feedback resistor,</td>
<td>42</td>
</tr>
<tr>
<td>25. Class B OTA for the VGA</td>
<td>43</td>
</tr>
<tr>
<td>26. Block diagram of the 4th order CT sigma delta</td>
<td>46</td>
</tr>
<tr>
<td>27. Power Spectral density plot of the ideal 4th order CT Sigma Delta</td>
<td>47</td>
</tr>
<tr>
<td>28. Coefficient Sensitivity Analysis</td>
<td>48</td>
</tr>
<tr>
<td>29. Block diagram of the ( \Sigma \Delta ) ADC with Macro models for the opamp and DAC50</td>
<td>50</td>
</tr>
<tr>
<td>30. SQNR degradation Vs the gain of the Amp Active RC amplifier varies</td>
<td>51</td>
</tr>
<tr>
<td>31. Modeling the effect of clock jitter on the DAC Current pulse</td>
<td>52</td>
</tr>
<tr>
<td>32. Matlab Simulation showing the impact of jitter on the SNR of the ADC</td>
<td>54</td>
</tr>
<tr>
<td>33. Clock waveforms depicting the excess loop delay impact on RZ DAC vs NRZ DAC</td>
<td>55</td>
</tr>
<tr>
<td>34. Fully differential folded cascode opamp used in the Active RC integrator</td>
<td>58</td>
</tr>
<tr>
<td>35. Fully differential folded cascode gm used in the gm-C integrator</td>
<td>59</td>
</tr>
<tr>
<td>36. Fully differential folded cascode gm used in the local zero gm-C integrator</td>
<td>59</td>
</tr>
<tr>
<td>37. 3-level Quantizer and Schematic of the comparator</td>
<td>61</td>
</tr>
<tr>
<td>38. Timing diagram of the Quantizer</td>
<td>62</td>
</tr>
<tr>
<td>Figure</td>
<td>Page</td>
</tr>
<tr>
<td>--------</td>
<td>------</td>
</tr>
<tr>
<td>39</td>
<td>A high level representation of the proposed feedback DAC Architecture</td>
</tr>
<tr>
<td>40</td>
<td>Basic Self Calibration Scheme</td>
</tr>
<tr>
<td>41</td>
<td>Proposed Self Calibration Scheme</td>
</tr>
<tr>
<td>42</td>
<td>Schematic diagram of the first DAC</td>
</tr>
<tr>
<td>43</td>
<td>Feedback DAC input referred noise</td>
</tr>
<tr>
<td>44</td>
<td>Feedback DAC1 SNR for different current settings</td>
</tr>
<tr>
<td>45</td>
<td>Feedback DAC input referred noise</td>
</tr>
<tr>
<td>46</td>
<td>Schematic diagram of the other feedback DACs (2,3 &amp;4)</td>
</tr>
<tr>
<td>47</td>
<td>Transient simulations of the common mode keeper showing the glitches being generated in the zero state</td>
</tr>
<tr>
<td>48</td>
<td>Impact of the DAC4 nonlinearity to the performance of the Modulator</td>
</tr>
<tr>
<td>49</td>
<td>Test setup for measurement and evaluation of the DHA/SD ADC</td>
</tr>
<tr>
<td>50</td>
<td>Die photos of the DHA System depicting the (a) The Dual Channel Implementation (b) Single channel details showing the VGA, Active RC and DACs</td>
</tr>
<tr>
<td>51</td>
<td>Measured SNR in dB Vs input Signal Amplitude</td>
</tr>
<tr>
<td>52</td>
<td>Measured transfer curve of the Sigma Delta ADC showing no peaking and channel gain flatness</td>
</tr>
<tr>
<td>53</td>
<td>Measured transfer curve of the Sigma Delta ADC showing no peaking and channel gain flatness</td>
</tr>
</tbody>
</table>
Figure 54: Measured 2\textsuperscript{nd} order harmonic distortion of a) without calibration enabled b) with calibration enabled. ........................................................................................81
CHAPTER 1

1. INTRODUCTION

Hearing loss afflicts approximately 10% of the world population; the basic solution is amplification of sound to compensate for acoustic signal loss in the ear. Hearing aids can be either analog or digital, with the current advances in digital chip design and digital signal processing technologies, digital hearing aids have become prevalent. One of the fundamental challenges for hearing impaired is speech intelligibility in presence of background noise. The ability to understand speech in a noisy background is expressed as signal-to-noise ratio for comprehending 50% for speech namely SNR-50. In hearing impaired the SNR-50 could be as much as 30dB higher than normal people to achieve the same level of speech comprehension [1]. As such background noise reduction and increasing speech intelligibility is a key challenge for hearing aid design. This thesis presents the implementation and characterization details of a dual channel analog front-end (AFE) for digital hearing aid (DHA) applications that uses novel Micro Electro-Mechanical Systems (MEMS) audio transducers and ultra-low power scalable A/D converters, which enable a very-low form factor, energy-efficient implementation for next generation DHA. The key contribution of the thesis is the implementation of the MEMS microphone interface circuit and power scalable ΔΣ ADC system with self calibrating feedback DAC.
1.1. A brief Overview of Digital Hearing Aid System
Architectures and Issues

The first generation of hearing aids consisted of analog variable gain amplifiers, electret microphones and speakers that compensated for hearing loss. These hearing aids dissipated a considerable amount of power and had flat frequency characteristics that made these devices uncomfortable for most patients. Human hearing and speech sensitivity of human ear is non uniform across the audio frequency band and as such the human hearing loss also varies non uniformly with frequency[1]. The next generation of devices adopted analog filter banks in which band-pass filters were used in parallel to amplify the acoustic signal to a specific level in each different frequency band. This design, however, resulted in bulky devices that still required high power consumption [2]. A major breakthrough was achieved through the development of DHAs that exploited the power of digital signal processors (DSPs) that allowed full programmability and customization to a patient’s hearing characteristic [3-7].

Figure 1: A Typical Digital Hearing System
A typical single channel DHA system, shown in Fig. 1, consists of a Microphone interface circuit, an analog front end (AFE), DSP, followed by digital to analog (DAC) converter and a speaker driver. The AFE consists of a Variable Gain Amplifier (VGA) and an Analog to Digital Converter (ADC). The receiver front end receives the processed digital signal from DSP and converts it to the analog domain. At the backend, a speaker delivers the acoustic sound to excite the patient’s eardrums. The current generation DHA’s employ microphone arrays combined with adaptive array processing that improve audio quality and perception in real-life environments through noise cancellation mechanisms. Such directional DHAs exploit the use of multiple microphone arrays (MMAs) to provide the patient with information on the spatial position of the desired acoustic source, while attenuating the ambient noise at the same time [8]. MMAs apply adaptive beam forming techniques to estimate the signal direction and cancel ambient noise [9-10]. Such directional gain enhancement is quantified through the directivity index (DI). In short directivity index is a measure of the directionality of a MMA system which is measure of speech intelligibility by enhancing the gain of the signal coming from the direction of the desired source, while suppressing noise from other directions. Directivity index is given by eq 1. 1
The figure 2 shows the response of two directional microphones as a function of the angle of sound incidence, the desired sound directions is at an azimuth angle of $0^\circ$. The concentric reference lines starting from the centre of the polar plot are graduated in decibels. As the mismatch increases the directivity of the system starts to degrade. For example, to achieve 10 dB of background noise cancellation, the gain of the two transmitter front-ends should match within or less than 0.5 dB [8]. MMA hearing systems require precise adaptive matching of the gain and phase responses of both of the audio transducers and the analog front ends of each channel. Any mismatch affects the directionality. The gain mismatch is a cumulative effect of the gain mismatches in the microphone, the microphone interface circuit and the AFE.

**Directivity Index**

$$\text{Directivity Index} = 10 \log \left( \frac{\text{Intensity of the Acoustic Beam}}{\text{Intensity of omnidirectional source}} \right)$$
Figure 2: A Polar plot of two directional microphones with mismatch in the audio signal path

The dynamic range and power level of an audio signal have different characteristics in different environments. As illustrated in Fig. 2(a), the audio spectrum of a conversation in quiet environments shows that the noise floor is at about 0 dB-SPL (dB Sound Pressure Level), and the acoustic signal has a 65-dB dynamic range. Fig 2(b) shows the spectrum of the same conversation in a noisy environment (i.e., street) where the noise floor has increased to 25 dB-SPL and the dynamic range is now only 55 dB. Clearly, to cope with the ambient noise, the person who is speaking raises his voice level, but only up to the level of comfortable hearing. Consequently, it is clear that changes in signal power, dynamic range and noise floor – can all be exploited to optimize the AFE circuit power consumption. In fact, in high background noise
environments, the DHA system can decide to relax the front-end noise performance and optimize its parameters to avoid degradation (i.e., clipping) of the high sound-level desired signal. Conventional hearing aid architectures have a fixed front-end dynamic range (e.g., as high as 120 dB) to cope with different ambient noise conditions but require high power consumption.

Figure 3. Power spectral density of the noise floor in a quite environment.
The existing DHAs are plagued with three major issues namely

1. The quick degradation of performance in noisy environments in which the AFE becomes saturated due to the ambient acoustic content and background noise. Background noise interferes with the desired conversation thereby impairing intelligibility. While the use of a very high dynamic range AFE can help relieving this problem, it comes at the expense of high power consumption and complexity.

2. The cumulative gain mismatch in the audio signal path in case of multiple microphone based implementation used in directional DHA’s, degrades the directionality that can be achieved.

3. In current DHA’s the most widely used microphones are electret microphones; however their large size prohibits the application of
MMA techniques in completely in-the-ear-canal systems, plus they tend to exhibit a high level of gain mismatch severely impacting the directivity index.

1.2. Proposed Directional Digital Hearing Aid System Architectures

The proposed architecture adapts to noise floor conditions by adjusting system linearity and SNR of the Analog Front-End (AFE) to maintain optimal performance. This architecture can optimize power consumption depending on the ambient conditions, thereby maximizing battery life. However, changing the system architecture to scale SNR can lead to transient artifacts, such as clicks or pops, or potential system instability. These issues have been also addressed in this work. The design requirements for a typical hearing aid are summarized in Table I

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Value</th>
</tr>
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<tbody>
<tr>
<td>Frequency Range</td>
<td>300Hz to 10KHz</td>
</tr>
<tr>
<td>Input Amplitude</td>
<td>0 to 120 dB SPL</td>
</tr>
<tr>
<td>Dynamic Range</td>
<td>120 dB</td>
</tr>
<tr>
<td>Harmonic Distortion</td>
<td></td>
</tr>
<tr>
<td>Input Amplitude &lt; 80 dB SPL</td>
<td>&lt; 0.001% (60 dB)</td>
</tr>
<tr>
<td>Input Amplitude &gt; 80 dB SPL</td>
<td>&lt; 0.01% (40 dB)</td>
</tr>
<tr>
<td>Equivalent Input Noise Level</td>
<td>29 dB SPL</td>
</tr>
<tr>
<td>Area/Size</td>
<td>Small</td>
</tr>
<tr>
<td>Power Source</td>
<td>1.2 V supplied by zinc-air cell based battery</td>
</tr>
</tbody>
</table>

Table 1: Typical architectural requirements for Digital Hearing Aids
The implemented DHA architecture is shown in Fig. 4. The incident acoustic waves on the dual MEMS microphones are converted into capacitive variations. A microphone interface circuit (i.e., C2V in Fig. 4) translates the capacitive variations into an electrical signal. A VGA is employed to set the optimal voltage level for the following ADC stage. An adaptive dynamic range fourth-order continuous time $\Sigma\Delta$ modulator is employed as the ADC. Ambient noise reduction and directivity can be achieved through manipulation of the phase information of the two incoming channels in the back-end DSP and are adjusted to each individual patient’s hearing needs. It should be noted here that the back-end DSP has not been implemented as part of this thesis.

This system implements power/SNR scalability at the AFE to maximize battery life and optimize noise performance. Furthermore, in the following sections it will be shown how the adopted scaling technique avoids transient noise glitches in the RFE, which can lead to user’s ear

Figure 5: The proposed dual channel DHA Architecture
fatigue and hearing discomfort. It additionally implements a MEMS interface circuit based on fully differential difference Amplifier (FDDA), which not only converts the audio signal into electrical (voltage) but also provides a single-ended to differential conversion.

The audio signal which is essentially sound waves causing a change in the atmospheric pressure around its mean value. This variation in atmospheric pressure is transduced by the MEMS microphone into capacitance changes which are in turn converted to voltage by the FDDA based interface circuit. The voltage signal then gets amplified by the VGA to optimum amplitude level to achieve the maximum dynamic range for the ADC. The amplified signal is oversampled by a ΣΔ AD and converted into 2-bit digital stream. This digital signal is converted into 16-bit signal by a decimation filter which is processed by the DSP. Each stage of this audio signal chain adds thermal and flicker noise to the signal, while the ADC also adds quantization noise and the oscillator’s phase noise is another source of degradation for the SNR. The audio signal chain is designed in order to minimize the noise and maximize the SNR. The noise affecting every stage is either thermal noise or flicker noise; while the microphone is affected by mechanical/Brownian noise while since the BW of interest is from 300Hz to 10 KHz, the flicker noise tends to dominates. Fig 5 shows the full audio signal chain which converts the input sound pressure in dB SPL to digital bits with the additive noise input referred components at every stage. The quantization noise \( q_{\text{noise}} \) of the ADC and the phase noise \( \phi_{\text{noise}} \) of the clock source.
Figure 6: The audio signal chain from input sound pressure in dB SPL to digital bits

The input sound pressure level \( P_{\text{si}} \) has range from 0 to 120 dB SPL, while the microphone sensitivity \( S_{\text{mic}} \) is essentially the ratio of the capacitive change \( \delta C \) over the nominal capacitance \( C_{\text{mic}} \) of the biased MEMs microphone expressed in dBV/Pa. The parameters given in Figure 5 are defined as below

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
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<tbody>
<tr>
<td>( \overline{v_{n,mic}^2} )</td>
<td>output noise of the MEMs microphone</td>
</tr>
<tr>
<td>( \overline{v_{n,dda}^2} )</td>
<td>input referred noise of the FDDA</td>
</tr>
<tr>
<td>( \overline{v_{n,vga}^2} )</td>
<td>input referred noise of the VGA</td>
</tr>
<tr>
<td>( \overline{v_{n,adc}^2} )</td>
<td>input referred noise of the ADC</td>
</tr>
<tr>
<td>( q_{\text{noise}} )</td>
<td>quantization noise</td>
</tr>
<tr>
<td>( \Phi_{\text{noise}} )</td>
<td>phase noise of the clock</td>
</tr>
</tbody>
</table>

Table 2: Noise parameters for the Audio Signal Chain
Sound applied to a microphone is expressed as sound pressure level (SPL) with reference to hearing threshold of human ear ($P_o = 20 \times 10^{-6}$ Pa) [2] which can be expressed in decibels (dBSPL) as follows

$$\text{Sound Pressure Level (dBSPL)} = 20\log\left(\frac{P_{si}}{P_o}\right) \quad (1.2)$$

Where $P_o$ is the sound pressure level incident on the microphone’s deflecting membrane. To calculate resultant voltage signal, the dBSPL needs to be converted to dBPa which is sound pressure level in decibels normalized to 1 Pascal (Pa) given as follows

$$\text{dBPa} = \text{dBSPL} + 20\log\left(\frac{20}{10^{-6}}\right) \text{ Pa} \quad (1.3)$$

$$\text{dBPa} = \text{dBSPL} - 94 \text{ dB} \quad (1.4)$$

Now this sound pressure level incident on the microphone in terms of the absolute pressure is converted to voltage as a function of the sensitivity of the microphone ($S_{mic}$) given as

$$\text{dBV} = \text{dBSPL} - 94 + S_{mic}(dB) \quad (1.5)$$

The sensitivity of conventional electret microphones reported is around -44 dB/V/Pa [2], for the MEMS microphone used for the sensitivity is around the same about -45 dBV/Pa refer to figure 9, in chapter 2. Hence the voltage out ($V_{mo}$) of the microphone feeding into the microphone interface circuit and the AFE is given as below

$$V_{mo} = 10^{\frac{dBV}{20}} \quad (1.6)$$
The noise requirements at the input of the ADC are determined by the input signal level which is a function of the input reference level which was set to -0.5V to +0.5V, governed by the given below equation

\[
DR_{adc} = 20\log\left(\frac{v_{m,adc}}{v_{n,adc}^2}\right) \tag{1.7}
\]

Although the full audio dynamic range is 120 dB, but the useful hearable audio dynamic range is about 65 dB.

<table>
<thead>
<tr>
<th>Blocks</th>
<th>Values</th>
<th>Units</th>
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<tr>
<td>Microphone Sensitivity (dBPa/V)</td>
<td>-45.00</td>
<td></td>
</tr>
<tr>
<td>Sound Pressure Level (dBSPL)</td>
<td>0.00</td>
<td>120</td>
</tr>
<tr>
<td>Sound Pressure Level (dBPa)</td>
<td>-94.00</td>
<td>26</td>
</tr>
<tr>
<td>Microphone Out(dBV)</td>
<td>139.00</td>
<td>-19</td>
</tr>
<tr>
<td>Microphone Out(V)</td>
<td>0.00</td>
<td>0.112</td>
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<table>
<thead>
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<td>Input level (max) @ the ADC</td>
<td>0.50</td>
<td>V</td>
</tr>
<tr>
<td>Dynamic Range of ADC</td>
<td>70.00</td>
<td>dB</td>
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<tr>
<td>Total noise @ the input of ADC</td>
<td>55.90</td>
<td>uVrms</td>
</tr>
<tr>
<td>Vnoise</td>
<td>39.53</td>
<td>uVrms</td>
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<thead>
<tr>
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<tbody>
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<td>VGA Gain</td>
<td>40.00</td>
<td>dB</td>
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<tr>
<td>VGA Input Noise</td>
<td>15.81</td>
<td>uVrms</td>
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<thead>
<tr>
<th>Blocks</th>
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<tr>
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<td>FDDA Transducer Gain</td>
<td>6.00</td>
<td>dB</td>
</tr>
<tr>
<td>FDDA Input Noise</td>
<td>85.00</td>
<td>uVrms</td>
</tr>
<tr>
<td>FDDA Input Noise</td>
<td>12.57</td>
<td>dB SPL</td>
</tr>
<tr>
<td>Signal to Noise Ratio</td>
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</tbody>
</table>

Table 3: Block Specifications for the DHA
The full signal chain is able to achieve more than 65dB SNR which is required to meet the comfort zone for audible sound as shown in figure 3.

1.3. Contributions: FDDA based MEMS interface Circuit, self calibrating DAC for an adaptive power scaling ADC.

The contributions of this thesis are the development and implementation of FDDA based MEMS microphone interface circuit based on C2V conversion, and a self calibrating feedback DAC to for a power scalable ADC.

1.4. Thesis Outline

The rest of the thesis presents the implementation details of the proposed dual channel Digital hearing Aid (DHA). Chapter 2 focuses on the system architecture for the MEMS interface circuit design, which develops a MEMs microphone behavioral model for designing the Fully differential difference amplifier (FDDA). Chapter 3 presents the Analog front end architecture including the Variable Gain Amplifier, the power scalable ADC, and the self calibrating feedback DAC. Measurement setup and results are presented in chapter 4. Chapter 5 presents the conclusions and future work.
CHAPTER 2

2. SYSTEM ARCHITECTURE FOR MEMS MICROPHONE INTERFACE

CMOS MEMS Microphone with their small size and ease of integration with CMOS signal processing chain present opportunities for design of highly integrated DHAs. Furthermore CMOS MEMS microphone are also becoming increasing competitive in terms of price and performance with their electrets counterparts. A CMOS MEMS microphone simply consists of a moveable plate and a stiff back plate which forms a variable capacitor.

2.1. Overview of the MEMS Interface System Architecture

The proposed system consists of a MEMS microphone which is essentially capacitive and low-noise low offset microphone preamplifier with a high input impedance and balanced input. interface with a low noise. The MEMS microphone is biased by a DC voltage; the incident acoustic waves causes the

Figure 7. MEMS Microphone and Preamplifier.
capacitance to vary which is converted to a voltage and amplified by the MIC preamplifier as shown in Fig 7.

The preamplifier needs to have a very high input impedance to be able to detect the acoustic signal without being affected by the impedance of the MEMs microphone. A low input offset and low input referred noise is required to ensure that the maximum gain can be used without getting swamped by the offset. Additionally the input amplitude of the signal can vary from 20μV to 100mV with at least SNR for about 14 dB.

2.2. CMOS MEMs Microphone

This section describes the MEMS microphone designed and developed by the MEMS group at Arizona State University (ASU). Fig. 3 depicts the construction of the capacitive MEMS microphone that was used in the DHA design. The device size is 2.5x2.5x0.5 mm\(^3\) and it consists of a multi layered parylene diaphragm suspended over a silicon substrate [11-13]. This MEMS microphone has three major parts the top and bottom electrodes which detect the capacitance change, the Ag (anode) and the Ni(cathode) which are electrically modulated as result of a phenomenon called electro deposition. The 1μm gap between the diaphragm and substrate forms a parallel plate capacitor, where as the sound pressure level causes a deflection in the diaphragm causing changes in the capacitance. The substrate acts as the capacitor back plate and acoustic holes are etched from the backside of the substrate to let the air in the gap move freely. This MEMS microphone has the additionally property that its capacitance can be
adjusted by applying a tuning voltage as a result of the electrochemical reaction that takes place causing the movements of Ag\(^+\) ions.

Figure 8. 3D view of the MEMS Capacitive Microphone

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>size</td>
<td>2.25x2.25x0.5</td>
<td>mm(^3)</td>
</tr>
<tr>
<td>Capacitor Gap</td>
<td>1</td>
<td>um</td>
</tr>
<tr>
<td>Sensing Capacitance</td>
<td>20</td>
<td>pf</td>
</tr>
<tr>
<td>Capacitance Sensitivity</td>
<td>20</td>
<td>ff/mV</td>
</tr>
</tbody>
</table>

Table 4: CMOS MEMS Microphone Characteristics

This feature of the Microphone is used for tuning any gain mismatches in two microphones. Fig. 5 shows the measured capacitance change as the DC voltage bias is swept from 100 mV to 900 mV. When the DC bias voltage is in the
700-900 mV range, the capacitance change of the microphone peaks and saturates around 100fF. The 200fF data point is an outlier in fig. 7.

The capacitance change is converted to voltage signal by a capacitance-voltage interface, which will be discussed in section 2.3. Fig. 8 shows the acoustic response of the MEMS microphone. A 1 kHz acoustic signal with 20 to 80 dB SPL (sound pressure level) was applied to the MEMS microphone

![Figure 9. Microphone capacitance change with respect to DC bias.](image)

Figure 9. Microphone capacitance change with respect to DC bias.
2.3. MEMs Microphone Behavioral Model

The miniscule capacitance variation of the order of tens of femto farads, generated by the MEMS microphone due to an acoustic signal is then converted into an electrical signal by a capacitive interface circuit. The design of the interface circuit presents unique challenges due to the small...
sensing capacitance, the high output impedance, robust DC bias requirements, and circuit noise (mechanical and electrical).

A typical MEMS condenser microphone needs to be connected to a bias voltage source through a high impedance \[14\]. To first order, the MEMS microphone can be modeled as a variable capacitor. Sound pressure moves one side of the parallel plate capacitor, creating a capacitance change, as given in the Figure 9.

For a MEMS microphone biased by a DC voltage \(V_{\text{bias}}\), the charge \(Q(t)\) vs. voltage \(V(t)\) relationship of a capacitor \(C_{\text{MIC}(t)}\) is expressed by

\[
Q(t) = C_{\text{MIC}}(d)V(t) \quad \quad (2.1)
\]

\[
Q(t) = C_{\text{MIC,DC}}V_{\text{bias}} + \Delta C(d)V_c(t) \quad \quad (2.2)
\]
Where $C_{MIC}$ is the total capacitance of the MEMS Microphone, while $C_{MIC,DC}$ is the nominal capacitance value at certain bias $V_{bias}$. $\Delta C$ is the change capacitance caused due to the acoustic excitation of the MEMS Microphone, $V_c$ is the electrical equivalent of the acoustic signal. The sensed voltage of a MEMS microphone can be derived from (3), by applying the charge conservation law,

$$v_{sense} = \frac{\Delta C(d)}{C_{MIC,DC} + C_p} V_{bias}$$  \hspace{1cm} (2.3)

where $C_p$ is the parasitic capacitance associated with interconnect etc. The sensitivity of the MEMs microphone is given as below

$$Sensitivity = V_{bias} \frac{\Delta C(d)}{(C_{MIC} + C_p)\Delta P}$$  \hspace{1cm} (2.4)

where $\Delta P$ is the change in sound pressure in Pascal, whereas Sensitivity has the units of dBV/Pascal.

A basic electrical veriloga model was developed for the MEMS microphone based on the characteristics of the microphone as depicted in the curves in Figure 7 & 8. This basic electrical model of the MEMs microphone consists of a fixed capacitor $C_{MIC,DC}$ and a variable Capacitor $C_v$ which is modulated by the sound pressure level, while $R_N$ represents the electrical equivalent of the acoustical noise of the microphone, and $C_p$ being the parasitic capacitors.
For this model the acoustic noise is assumed to be minimal. Actual measurements of the acoustic noise of the MEMS microphone is around 20 dBSPL. A detailed noise analysis is presented in the next chapter.
CHAPTER 3

3. OVERVIEW OF CAPACITIVE SENSING ARCHITECTURES

3.1. Overview of Capacitive Sensing Architectures

Capacitive sensing converts mechanical displacement or motion of the surfaces forming the capacitance into an electrical based signal like voltage or current or a time based signal like frequency or time period. In this thesis we are focused on electrical based schemes which can generate voltage or current as an output. Capacitors can sense ac signals only, as such ac modulation sources are required for capacitive sensing. Capacitive sensing generates an AM signal that needs to be sampled or demodulated, to extract its envelop. Capacitive sensing can be single ended or differential. Differential capacitive sensing has all the advantages associated with differential signaling.

Capacitive sensing only uses the parallel-plate part of the total CMOS MEMS capacitor as the useful part, while the fringe part adds to the parasitic capacitance. The other major non Idealities for CMOS MEMS capacitive sensors are

Brownian Noise of the MEMS device

- Electronic/Circuit Noise
  - 1/f noise
  - Thermal noise
- Circuit offset
- Sensor Offset
- Undesirable Charging
- Parasitic Capacitance
• Very High Impedance Sense node

In the case of a hearing aid, the frequency of interest is in the audio band from 300Hz to 10KHz. As such the Capacitive Sensing architectures can be categorized according to the current, charge or voltage signals they generate.

A. Continuous-time Current sensing (CTC)

Continuous time current sensing is essentially based on trans-impedance amplifiers (TIA). The charges transfer across the plates of the MEMS capacitor creates an ac current which can be sensed using a TIA.

B. Switched Capacitor Charge Integration (SCI)

Since capacitive sensing is based on the charge-voltage relationship of the sensed capacitor, which is also the basic principle on which switch capacitor circuits are based, hence there is a natural fit. The SC circuits provide a virtual ground and robust dc biasing of the sensing node making the sensed signal insensitive to parasitic capacitance and charging. Additionally the SC circuit also offers a number of techniques for offset reduction such as correlated double sampling (CDS). The major drawbacks of SC charge injection is the noise folding caused by the sampling process, the thermal noise of the switches, and the kT/C noise of the small sampling capacitors.
C. Continuous –time Voltage sensing (CTV)

The CTV approach is based on a impedance conversion buffer, the capacitance change is converted into a voltage signal by properly biasing the MEMS microphone. This voltage change is than amplified and buffered by a voltage amplifier. The key challenge in this design is the DC biasing of the very high impedance MEMS microphone. This approach has superior noise performance to the other two approaches.

3.2. Proposed MEMS Microphone Interface Architecture

3.2.1. CTV Architecture Based on FDDA

A CTV approach based on a fully differential difference amplifier (FDDA) [20-22] is proposed in this thesis, which can be implemented in the same process as the rest of the analog front end (Fig. 12). The microphone interface with its high impedance, wide dynamic range of around of 100dB, low noise, a THD of at least -57 dB presents unique challenges. As such a low noise, low-offset, microphone amplifier with a high impedance and matched input is required. The input matching in addition with the high CMRR helps reject any external interference. Low noise and low input referred offset helps to maximize the signal at the output of the preamplifier while the high input impedance keeps the out of the preamp isolated from the acoustic input. A FDDA seems to be a very good candidate to meet these requirements. The FDDA consists of dual differential input pairs, namely, a primary and auxiliary pair. The primary pair is connected to the MEMS
microphone, while the auxiliary pair forms a feedback loop. The primary pair and the auxiliary pair implement a virtual short circuit, which provides the high input impedance required for the MEMS microphone and a low output impedance to drive the next stage. The CMRR for the FDDA as would be shown later solely depends on the transistor with amplifier and not on resistor matching. The other two concerns in terms of $1/f$ noise and offset are addressed by proper choice and sizing of the input pair of the amplifier.

The back-to-back ($D_1$ and $D_2$) are needed to provide the high impedance between the MEMS microphone and the bias voltage. These diodes turn on as the voltage of the high impedance sense node drifts from the bias point thereby essentially clamping the voltage of the sense node to the bias point. The size of these diodes is chosen as such to trade off the shot noise with the high impedance requirement. Other biasing schemes have also been presented in the literature which use periodic reset pass gate to connect the bias voltage to the sense node, the periodic reset ensures that sense node does not drift [21]. A dummy MEMS capacitor also needs to be used for the purpose of converting the charge into a voltage. In our scheme an identical MEMS cap is used for this purpose in order to minimize any mismatches, which is biased similarly as the actual MEMS microphone.
This scheme requires a digital counter for generating the periodic reset, increasing complexity, additionally issues like clock feed thru, charge sharing and noise folding would have to be taken care of. As such the simpler diode biasing scheme has been chosen for this implementation. The major disadvantage of this scheme is that the shot noise generated by the diodes could take a few second in the order of 3-4s before becoming negligible. Due to complexity of this acoustic-electrical system, architectural design and analysis becomes very cumbersome. To circumvent this issue a behavioral electrical model of the MEMs microphone was developed to be able to simulate the whole system in the electrical domain.
3.2.2. Fully Differential Difference Amplifier (FDDA)

A block diagram of an ideal FDDA is shown in Fig. 9 where two differential input voltages primary \((v_{PP}, v_{PN})\) and auxiliary \((v_{AP}, v_{AN})\) are converted into currents through the transconductance stages, \(g_{m1,2}\) and then amplified by an output stage [22]. In this way, the ideal FDDA amplifies the differential voltages while suppressing the common mode voltage. With respect to Fig. 9, the FDDA behavior is ideally defined by

\[
v_{OP} - v_{ON} = A \cdot [(v_{PP} - v_{PN}) - (v_{AP} - v_{AN})]
\]  

(3.1)

An ideal FDDA with infinite forward gain \((A)\) in negative feedback configuration forces the following relationship between the two differential inputs

\[
v_{PP} - v_{PN} = v_{AP} - v_{AN}
\]  

(3.2)

Since there are two differential pairs, the gain matching of the two parallel transconductance stages (i.e., \(gm_1\) and \(gm_2\)) is an important issue and sufficient matching to guarantee correct circuit operation is required. The non-ideal signal transfer function of the FDDA can be written as
\[ v_{OD} = A_d[v_D - |V_{off}| + \frac{1}{CMRR_P}(v_{CP} - V_{CPO}) ] \\
+ \frac{1}{CMRR_A}(v_{CA} - V_{CA0} + \frac{1}{CMRR_d}(v_{CD} - V_{CDO}) \tag{3.3} \]

Where \( A_d \) and \( V_{off} \) are the differential gain and input referred offset, defined similar to the case of conventional opamps. However, the \( CMRR_{P,A,d} \) parameters are unique to the FDDA due to the dual input pairs. The \( CMRR_P \) and \( CMRR_A \) are the common mode rejection ratios of the primary and the auxiliary input pairs, whereas the \( CMRR_d \) is a measure of the difference of the differential inputs, which also becomes a common mode signal, defined as

\[ CMRR_d \approx \frac{1}{1 - \frac{g_{m1}}{g_{m2}}} \tag{3.4} \]

Figure 15. Block diagram of a fully differential difference amplifier
A. FDDA Schematic

The full FDDA amplifier is shown in Fig. 8. The FDDA consists two PMOS input differential stages, which share a common current mirror load, an intermediate gain stage and a class AB output stage to be able to drive the input stage of the VGA. A continuous common mode feedback circuit is used to set the output common mode voltage. The input pairs of the FDDA are implemented using PMOS devices with large gate areas in order to reduce the flicker noise contribution, this also ensures that these input devices dominate the noise and offset performance of the amplifier. The output consists of a class AB stage to drive the relatively low input impedance of the next stage.

The lower bounds for the input PMOS current mirrors is set by the noise and offset requirement, while the upper bound is dictated by the available area for layout. The optimum choice for these devices was $W = 960\,\text{um}$, $L = 2\,\text{um}$, while the input pair was size to $W = 600\,\text{um}$, $L=4\,\text{um}$. Additionally a large gate area has been chosen for the n current mirrors to minimize their flicker noise. Moreover since the gates of the input pair are connected to the sensing node, although increasing their size reduces the thermal and $1/f$ noise but it also increases the gate capacitances ($C_{gs}$, $C_{gd}$) which could potentially reduce the sensitivity of the capacitor sensor. In our case since the nominal capacitance of the MEMS microphone is large of the order of $20\,\text{pf}$, the gate

Proper layout matching techniques like common-centroid and cross coupling need to implemented in order to reject systematic error due to process gradients.
The choice of large gate areas of the input device and the current mirrors help to minimize the process specific random errors. The total area of the preamplifier comes out $0.076\text{mm}^2$ of active device area, with the area for the compensation capacitors this will grow to $0.5\text{ mm}^2$.

![Schematic of the Fully differential difference amplifier](image)

Figure 16. Schematic of the Fully differential difference amplifier

### B. Simulation Results

The FDDA has a DC gain of 75 dB, and GBW of 9MHz. Given below are the simulation results for the Gain and phase.
Figure 17: FDDA open loop gain and phase margin simulation results
3.2.3. Microphone Interface System Analysis

With the basic functionality of the FDDA established, let us go back and analyze the interface circuit. From Fig 8, to calculate the small signal gain expression for the interface circuit. The following two equations form the basis of the analysis

\[
\begin{align*}
v_{out} &= A_d [((V_{bias} + v_{sense}) - V_{bias}) - (v_{AP} - v_{AN})] \quad (3.5) \\
v_{out} &= \frac{A_d}{1 + \left(\frac{R_2}{R_1}\right)A_d} (v_{AP} - v_{AN}) \quad (3.6)
\end{align*}
\]

Solving the above two equations in terms of \(v_{out}\) and \(v_{sense}\), we get the following

\[
\begin{align*}
v_{out} &= \frac{A_d}{2 + \left(\frac{R_2}{R_1}\right)A_d} v_{sense} \quad (3.7) \\
v_{out} &= \left(\frac{R_1}{R_2}\right) v_{sense} \quad (3.8)
\end{align*}
\]

For a high enough differential gain, in our case of about 75 dB as shown the eq (2.13) reduces to its classical version eq (2.14) in which gain is only a function of the resistors \(R_1\) and \(R_2\). The above representations are ideal and are valid only under the assumption of linearity; all the non-idealities have been assumed to be negligible.

3.2.4. Microphone Interface Simulation Results

The interface circuit is expected to achieve more than 90 dB dynamic range as shown in Fig 14. A plot of the simulated THD as a function of the input sound
pressure in dB SPL @ 1.05 KHz is shown in Fig 15. The transient response of the MEMS interface circuit including the VGA is shown in Fig 16.

Figure 18. Dynamic Range Simulation Results

Figure 19. THD of the differential output @ 1.05kHz
Figure 20. Transient Simulation Results of the Full Signal Path including the VGA with 105 dB SPL input
3.2.5. MEMs Microphone and MEMs Interface Noise Analysis

The noise level (dBA) of a microphone is expressed relative to the sound pressure of 2.10^{-6} Pa after weighting the noise with an A-filter, which has a standardized frequency response like the average human ear at low sound levels. A-weighting uses equal loudness curves. The cumulative noise is given as follows

\[
SNR = 20 \log \left( \frac{V_{mic,s}}{\sqrt{V_{mic,n}^2 + V_{amp,n}^2}} \right)
\]  

(3.9)

Where \(V_{mic,s}\) is the audio signal, \(V_{mic,n}\) is the noise of the MEMs microphone and \(V_{amp,n}\) is the total input referred noise of the FDDA. The cumulative noise of the FDDA amplifier is listed in the table below.

<table>
<thead>
<tr>
<th>Device</th>
<th>Param</th>
<th>Noise Contribution</th>
<th>% of Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>I0/NM20</td>
<td>fn</td>
<td>9.6478E-10</td>
<td>16.58</td>
</tr>
<tr>
<td>I0/NM23</td>
<td>fn</td>
<td>9.6324E-10</td>
<td>16.55</td>
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<tr>
<td>I0/NM24</td>
<td>fn</td>
<td>9.6295E-10</td>
<td>16.55</td>
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<tr>
<td>I0/NM19</td>
<td>fn</td>
<td>9.6161E-10</td>
<td>16.52</td>
</tr>
<tr>
<td>I0/NM27</td>
<td>fn</td>
<td>6.3951E-10</td>
<td>10.99</td>
</tr>
<tr>
<td>I0/NM32</td>
<td>fn</td>
<td>6.3890E-10</td>
<td>10.98</td>
</tr>
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<td>R0</td>
<td>nm</td>
<td>1.6003E-10</td>
<td>2.75</td>
</tr>
<tr>
<td>R2</td>
<td>nm</td>
<td>1.6003E-10</td>
<td>2.75</td>
</tr>
<tr>
<td>R5</td>
<td>nm</td>
<td>1.4418E-10</td>
<td>2.48</td>
</tr>
<tr>
<td>I0/PM26</td>
<td>nm</td>
<td>6.6267E-11</td>
<td>1.14</td>
</tr>
<tr>
<td><strong>Total Summarized Output Noise (v2/sqrt Hz)</strong></td>
<td></td>
<td><strong>2.14573E-09</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Total Input Referred Noise (v2/sqrt Hz)</strong></td>
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<td><strong>8.31689E-05</strong></td>
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</tr>
<tr>
<td><strong>Total Input Referred Noise (dB SPL)</strong></td>
<td></td>
<td><strong>32.38</strong></td>
<td></td>
</tr>
</tbody>
</table>

Table 5: FDDA Noise Summary Report
The cumulative SNR of the MEMs microphone and the FDDA Amplifier calculated using the eq 2.11 would be

\[ SNR = 68dB \]
CHAPTER 4
4. ANALOG FRONT ARCHITECTURE AND CIRCUIT

The sensed and amplified voltage, in which the charge change in the MEMS microphone induced due to the sound pressure is converted into a voltage.

4.1. Analog Front End (AFE) Architecture Overview

The AFE consists of the Variable gain amplifier to amplify the electrical signal converted from the acoustic output of the microphone to an optimum amplitude for the \( \Sigma \Delta \) ADC to process. Since the VGA is used to essentially amplify an audio signal coming out of the microphone which is very low amplitude, it poses severe noise, offset and gain tolerance requirements. As such the variable gain amplifier is based upon voltage-controlled linearized MOS–resistive circuit (MRC), whose gain variation is controlled by the differential gate voltage [24]. Such a differential analog control of the gain has the added advantage of rejecting the common mode signal.

![Figure 21. Block diagram of the Analog Front End](image-url)
4.2. Variable Gain Amplifier Design

VGA is used to amplify the signal in order to maximize the resolution of the following ΣΔ ADC at various input signal levels. The VGA, shown in Fig. 18, includes a linearilized MOS resistor (MRC) at the input and an OTA with resistive feedback [23-24]. The input resistor is a cross-coupled depletion-mode NMOS transistor pair, whereas the feedback resistor is a high-resistive programmable poly resistor with four settings of 100, 200, 400, and 800 KΩ. The gate voltage of the cross-coupled transistors sets the gain of the VGA together with the switchable feedback resistor banks. The simulation results of the VGA programmable gain are reported in Fig. 20. The schematic of the OTA used in the VGA is shown in Fig. 21.

\[
  v_{op} - v_{on} = \frac{R_{fbk}}{R_{xpl}} (V_{ip} - V_{in}) \quad \text{(4.1)}
\]

\[
  \text{Gain} = \frac{R_{fbk}}{R_{xpl}} \quad \text{(4.2)}
\]

Where \(R_{fbk}\) is the feedback resistor connected in the feedback loop of the opamp, and has three selectable values. The \(R_{xpl}\) is effective resistance of the voltage-controlled linearized MOS resistor, the linearity of this structure is dictated by the signal swing at the source and drain of this structure. Under assumptions of linearity and perfect matching, since the MRC structure is based on a current differencing all the non-linear terms cancel out which result in the following linear equation.
$I_{op} - I_{on} = \mu \frac{C_{ox} W}{L} (V_{ctp} - V_{ctn})(V_{inp} - V_{inn})$  (4.3)

$R_{xpt} = \mu \frac{C_{ox} W}{L} (V_{ctp} - V_{ctn})$  (4.4)
Figure 23. Block diagram of the VGA, MRC and the feedback resistor
The scaling of the feedback resistor allows the output referred noise of the VGA to scale with the POWER SNR scalability of the front end of the ADC, as shown in Figure 24. Additionally the input referred noise of the VGA also scales when the gain is kept constant. Figure 25 shows the Class B OTA used for the VGA, it consists of a cross coupled nmos current source as the load. Although class B is a good choice for current efficiency, it does tend to exhibit cross over distortion,
which is not such a big concern for this design since the device sizes used are big thereby minimizes the mismatch between them.

Figure 25. Class B OTA for the VGA
4.3.4\textsuperscript{th}-order CT $\Sigma\Delta$ ADC Architecture

A continuous time (CT) sigma delta has been chosen for the implementation of the Analog to digital converter (ADC), since they are inherently lower power than the discrete time versions due to their relaxed requirements on the OTA bandwidths in the CT loop filters. The CT SD ADC’s intrinsic anti-aliasing has been widely reported as one of the salient features of this architecture. In the case of Hearing Aids this features is very helpful as it allows to band limit the input acoustic signal to the bandwidth of the loop filter without the need of a low pass filter. A major drawback of this approach is the sensitivity of the CT architecture to input clock jitter. This clock jitter causes an uncertainty in the pulse width of the clock which controls the DAC, thereby modulating the charge being injected at the input of the ADC. The return-to-zero (RZ) DAC are especially sensitivity to jitter compared to the non-return-to-zero (NRZ) DAC due to twice the number of clock transitions in the former.

Although multi-bit quantizer based on NRZ DAC have been shown to reduce the SNR degradation substantially, as the number of bits of quantizer increases other non-idealities of quantizer like DNL, INL etc may limit the achievable SNR. Additionally power and design complexity of the quantizer also increases with increasing number of bits, the preferred approach is to keep the number of bits to be less than 5. This is especially true for the DAC that is connected to the input of the loop filter, since the non-idealities of the other DACs are attenuated by the gain of the loop filter, while the non-idealities of the first DAC directly appear at the input of ADC causing to severely limit the achievable
SNR. As such we have chosen a 1.5 Bit quantizer to be a reasonable compromise between jitter sensitivity and design complexity. It would be shown later that this may not have been an optimum choice as evident by the silicon results. Such sensitivity to input clock jitter is a strong function of the number of bits in the quantizer.

Thermal noise, DAC mismatch and other non-idealities add to the quantization noise floor limiting the SNDR that can be realized by a CT sigma delta. As such to achieve high resolution as required for the DHA it is necessary to design a loop filter with more than first order noise shaping to push the quantization down. After careful design tradeoffs b/w power and stability requirements a 4th order CT loop filter was chosen due to its noise shaping ability which results in a SQNR > 100dB, in a 10 KHz BW. Such a high order loop filter results in higher order modulator and with a 1.5 bit quantizer the stable input range is a about 3.6dB below the full scale range of the feedback DAC. Such a high order loop filter is usually implemented using a cascade of integrators with wither a feed-forward summation of all the signals at input of the quantizer or a distributed feedback architecture with signal summation happening at the individual integrator nodes; for this thesis the later approach has been chosen. This topology consists of a cascade of 4-integrators with distributed feedback and a local resonator as shown in Figure 20. Additionally the coefficients $a_1$ and $b_1$ are kept to be equal, which ensures that the input signal is not present in any of the integrators, the loop filter only processing the quantization noise.
The coefficients of the loop filter were chosen using the Schreier Delta Sigma toolbox. This tool box gives the filter coefficients for a discrete time (DT) filter. These DT coefficients were transformed using the impulse invariant transform into their equivalent CT counter parts shown in table 3.1.

![Block diagram of the 4th order CT sigma delta](image)

**Table 6: Coefficients of the proposed loop filter**

<table>
<thead>
<tr>
<th>Coefficient</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>b1</td>
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</tr>
<tr>
<td>c1</td>
<td>0.2299</td>
</tr>
<tr>
<td>c2</td>
<td>0.4866</td>
</tr>
<tr>
<td>c3</td>
<td>0.5895</td>
</tr>
<tr>
<td>c4</td>
<td>5.637</td>
</tr>
<tr>
<td>a1</td>
<td>0.0814</td>
</tr>
<tr>
<td>a2</td>
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<tr>
<td>a3</td>
<td>0.2208</td>
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<tr>
<td>a4</td>
<td>0.2241</td>
</tr>
<tr>
<td>g2</td>
<td>0.00624</td>
</tr>
</tbody>
</table>

The coefficients of the loop filter were chosen using the Schreier Delta Sigma toolbox. This tool box gives the filter coefficients for a discrete time (DT) filter. These DT coefficients were transformed using the impulse invariant transform into their equivalent CT counter parts shown in table 3.1.
4.3.1. Behavioral Model of the 4\textsuperscript{th}-order CT $\Sigma\Delta$

Due to the inherent complexity of the CT $\Sigma\Delta$ architecture and its mixed signal nature, behavioral modeling was employed for architectural tradeoff analysis. A simulink model was used for initial coefficient sensitivity analysis, while veriloga based model were used for more detailed analysis to study the impact of opamp bandwidths etc. The ideal SQNR plot from the simulink model using the coefficients given in table 4 is as shown in figure 21. A coefficient sensitivity analysis is performed using the differential current mode veriloga model and the simulink model. All coefficients are varied independently.

![Power Spectral density plot of the ideal 4\textsuperscript{th} order CT Sigma Delta](image)

Figure 27. Power Spectral density plot of the ideal 4\textsuperscript{th} order CT Sigma Delta
The objective is to determine which of the coefficients need calibration.

Coefficient are implemented as follows

- Coefficients a and c are implemented using $I_s$ and $R_s$
- Coefficient g is implemented using $R_s$ and $C_s$

Figure 28. Coefficient Sensitivity Analysis
One of the primary objectives of creating a high level model of the Sigma Delta is to be able to study the impact of the non-idealities on the SQNR of the ADC. For the integrators the non-idealities are: primarily limited gain bandwidth, noise and linearity, while for the feedback DACs, timing errors and unit element mismatch are major limiters. The injection point of these non-idealities determines how they are processed by the loop dynamics. Any non-idealities at the input stemming from the first integrator and the first feedback DAC directly impact the SQNR of the ADC, while the others are attenuated/filtered by the order of the preceding stage. With this in mind in this thesis we only focused on the non-idealities of the first integrator and first feedback DAC. No singular method was used for modeling for these non-idealities, a combination of macro-modeling, simulink and Matlab models were used, Figure 24, shows the macro-model. Using a circuit based macro-model has the added advantage that it was possible to mix and match this model with real circuit blocks, to quantify the interaction b/w the blocks.

4.3.2. Amplifier Non-idealities

The finite gain and bandwidth of the Amplifier are the primary non-idealities that limit the performance of the first active RC integrator. The Feedback DAC injects charge at the input of the opamp, creating a perturbation of equal magnitude as the scaled signal input, the negative feedback of the opamp acts to equalize these and suppress the quantization noise. However the limited gain of the opamp causes an insufficient suppression of quantization noise, which results the in-band quantization noise floor to rise thereby reducing the SQNR of the ADC. Figure 22
shows the effect of the variation of the gain of Active RC amplifier on ADC SQNR. With a DC gain of 40dB in the opamp the SNR degrades by about 10dB, due to the infective suppression of the Quantization noise at the input of the Opamp. However with a gain of 60 dB or more the simulated SNDR differs negligibly from the ideal case.

Figure 29. Block diagram of the $\Sigma\Delta$ ADC with Macro models for the opamp and DAC
A mathematical representation of the active RC integrator with a limited dc gain

“A” is given below

\[ I_1(s) = \left( \frac{A(s)}{sR_1C_1(1+A(s))} \right) (4.1) \]

\[ A(s) = \frac{A}{(1+\tau_p s)} (4.2) \]

Since the signal BW of interest for the DHA is the audio band (100-10KHz), and the sampling frequency is 1 MHz to get an OSR of 50, the bandwidth of the amplifier does not pose a major challenge for this ADC design. The amplifier needs to be fast enough to settle the transients caused by the DAC charge perturbation to 99% of its settled value, before the next sampling cycle.
4.3.3. Feedback DAC Non-idealities

Feedback DAC, especially the one connecting to the input of the first integrator defines the achievable SQNR of the ADC. Any non-idealities in the first feedback DAC are not shaped by the loop filter gain and directly contribute to the degradation of the SQNR, as such the first feedback DAC’s performance must meet the performance of the overall ΣΔ ADC posing a very stringent requirement.

Next let us the various non-idealities affecting the performance of the feedback DAC are investigated.

4.3.4. Clock Jitter and Excess Loop Delay

![Figure 31: Modeling the effect of clock jitter on the DAC Current pulse](image)

The effect of jitter in continuous-time ΣΔ modulators has been previously studied [35]. The effect of a clock jitter is an increase in the noise floor and a reduction of the dynamic range of the modulator. In higher order ΣΔ modulators, comparator input is de-correlated from signal amplitude, as a result of this, the
jitter effect of the quantizer is negligible. On the other hand, jitter in the feedback DAC has major effects on modulator performance [35]. Because the DAC current is fed back to the integrators during a clock phase, uncertainty of the turn on and turn off time of the current sources has a major effect on system performance. Fig. 29 shows that the clock jitter modulates the RZ DAC current pulse on both rising and falling edges. The clock jitter is assumed to be white Gaussian noise with a standard deviation of $\sigma_j$, affecting both rising and falling edge of the RZ DAC current pulse as such

$$Q_{dac} = I_{dac} k T_s$$  \hspace{1cm} (4.3)

$$Q_j = 1.414 \sigma_j I_{dac}$$  \hspace{1cm} (4.4)

Where $Q_j$ is the charge modulation that the clock jitter creates, $k$ is the pulse high time and $I_{dac}$ is the DAC current. The SNR degradation due to the clock jitter is given by the ratio of the maximum allowable signal power divided by the jitter noise power given as below

$$SNR = 10 \log \left[ \frac{k^2 OSR}{4 \sigma_j^2 f_s^2} \right]$$  \hspace{1cm} (4.5)

Where $f_s$ is the sampling frequency, $\sigma_j$ is the standard deviation of the jitter.

Figure 32 shows the simulated SNR degradation due to jitter on the designed fourth-order $\Sigma\Delta$ modulator. Effect on the system performance is negligible if the clock jitter is lower than 10pS.
The continuous time sigma delta loop, with emphasis on the feedback DAC, and the clock signals used in the sigma delta modulator are shown in Fig. 30, respectively. C1(t) is the clock for the comparator, and C2(t) is the clock for the RZ DAC used, C3(t) is the NRZ DAC pulse. These signals are generated from a single clock, with a non-overlapping clock generator. When C1(t) is zero, the comparator is kept at the auto-zero phase, whereas the regenerative latch is kept at center point. At time T1, comparator is released; the design makes sure that the comparator sure the comparator latches before T2. From time T2 to T3 a quantized sampled signal is fed back with the current steering DACs, where $\tau_1$ and $\tau_2$ are the turn on and turn off time of the DAC, respectively. The DAC architecture used is a RZ architecture, where the DAC current is turned on after the quantizer stabilizes, and is kept on for half a clock cycle. The DAC pulse returns back to zero before the next sampling cycle. As a result of this clocking,
this DAC architecture does not show any excess loop delay. Because the loop is closed before the next cycle, the sigma delta modulator is a cycle-to-cycle equivalent to the discrete counterpart.

In the NRZ case, the feedback signal is turned on and off with the comparator output. Because of the finite turn on time, $\tau_3$, and the finite turn off time, $\tau_4$, actual current pulses are delayed from the comparator output. As a result of this delay, the next sampling occurs before the full charge transfer, resulting in excess loop delay. This characteristic leads to SNR degradation and higher signal distortion.

Figure 33. Clock waveforms depicting the excess loop delay impact on RZ DAC vs NRZ DAC
4.4.4\textsuperscript{th}-order CT $\Sigma\Delta$ ADC Circuit Design

Fig 26 shows the block diagram of the implemented $\Sigma\Delta$ architecture, which is a fourth-order continuous time $\Sigma\Delta$ modulator with a 1.5 bit quantizer (-1, 0, 1) [25]. The input stage is an active-RC integrator whereas the subsequent stages are gm-C integrators. Furthermore, the topology uses return-to-zero current-steering DACs in the feedback while two comparators implement the 1.5-bit flash quantizer. In this we will discuss the design of the Quantizer and the feedback DACs, which work in tandem.

4.4.1. 4\textsuperscript{th} order CT Loop Filter Design

As shown in Fig 26, the input stage of the CT loop filter is chosen as an active RC integrator to provide low noise and flexible interfacing with the preceding stage VGA. While the succeeding 3 stages are implemented as gm-C integrators providing lower

4.4.1.1. Input Stage Active RC integrator

Power scaling of the system is implemented at the first integrator stage of the $\Sigma\Delta$ modulator wherein the highest power consumption is budgeted to the first stage in order to guarantee high SNR and linearity. Three parallel binary-scaled OTAs implement the power/SNR scaling, which consists of 4 power consumption steps (i.e., 8.4, 16.8, 33.6, and 67.2 $\mu$W, respectively, from a 1.2-V supply). Fig. 34 shows the schematic of the unit OTA used to build the adaptive active RC integrator. Depending on which OTAs are enabled, the input integration resistors are scaled accordingly to increase the linearity performance (Fig. 19) At low
power levels and high input sound levels, higher input resistance is used to
decrease the integration current thereby optimizing the linearity and dynamic
range of the first stage at the expense of higher input-referred noise. However, as
discussed in chapter 1, in this situation ambient noise dominates the system noise
budget, and therefore, the noise performance of the ADC can be relaxed.

4.4.1.2. $g_m$-C integrator

The $g_m$ stage circuit topology that has been used as the voltage to current
converter is shown in Fig. 35. A folded-cascode structure is used to maximize the
integrator DC gain. Resistive source degeneration is used to set the
transconductance value and improve linearity. Two helper amplifiers ($A_v$)
increase the precision of the input source followers, allowing voltages $V_{in}$ and $V_{ip}$
to accurately appear at the degeneration resistor nodes [27]. The input differential
voltage is thus converted into a small signal current through $R_{deg}$, which flows at
the drains of the input PMOS devices. The differential current is then applied to
the folded output stage to increase output impedance and DC gain. The $g_m$-C
integrators have a 69-dB DC gain, a power dissipation of 9.6 $\mu$W from a 1.2 V
supply, and the integration constants are 65.9, 103.9, and 596.8 KRad/s
4.4.1.3. Design $g_m$-C integrator for the NTF Zero

In the modulator block diagram of Fig. 36, the local feedback $g_z$ block implements a zero in the NTF just at the edge of the modulator passband, which helps to increase the SQNR by ~20

Figure 34: Fully differential folded cascode opamp used in the Active RC integrator.
Figure 35: Fully differential folded cascode gm used in the gm-C integrator.

Figure 36: Fully differential folded cascode gm used in the local zero gm-C integrator.
dB [28]. Note that his NTF zero is required in order to meet the system specifications. Because of the low frequency of the NTF zero, the required $g_m$ value to implement the zero is at least two orders of magnitude lower than the other $g_m$ stages.

The implemented $g_z$ transconductance stage is shown in Fig. 36. The circuit consists of a modified version of the folded cascode transconductance stage. To achieve a low transconductance value without increasing the size of the degeneration resistor, the signal current of the input stage is scaled down to the desired value in three current mirroring stages (i.e., 200:40:4:1). The $g_m$-$C$ integrator has a 42 dB DC gain, with an integration constant of 500 rad/s. The power dissipation is 5.7 $\mu$W from a 1.2-V supply

4.4.2. Quantizer Design

The schematic of the adopted three-level (1.5 bit) quantizer is shown in Fig. 37. Return-to-Zero phase consists of a third level in the DAC. By using a three-level quantizer, the zero state is generated as a digital code, which helps the loop stability and increases the SQNR. The adopted comparator architecture consists of a preamplifier and a regenerative latch [30]. The preamplifier compares the input differential signal with the differential reference voltage. When the digital clock signal is low, the regenerative latch is equalized, and the input signal is compared; when the clock is high, the current differential at the output of the preamplifier stage triggers the regenerative latch to its final value.
As shown in Fig. 37, the quantizer uses a two-phase clock. When \(\phi_1\) is low, the quantizer is equalized; when \(\phi_1\) is high, the output of the quantizer is latched. Furthermore, when \(\phi_2\) is high, a logic AND between the output of the quantizer and the clock is performed, which gives the Return-to-Zero state. A non-overlapping clock generation circuit is used to produce the clock signals. The input to this circuit is a 50 percent duty-cycle clock, and the output is a clock with a

![Figure 37. 3-level Quantizer and Schematic of the comparator](image-url)
larger duty cycle, which is determined by the delay of the feedback signals at
NAND gates’ inputs. The current-starved delay architecture is used to guarantee
that the rising edge of the clock ($\phi_1$) comes later than the rising edge of the
comparator enable signal ($\phi_2$) [31].

Figure 38. Timing diagram of the Quantizer

4.5. Proposed Feedback DAC Architecture

Current steering DACs are typically used in $\Sigma\Delta$ modulators because they enable
simple feedback mechanism to the CT loop filter, which is essentially a wired OR
connection. In our case since a complimentary current steering DAC is used
owing to the differential nature of the CT loop filter. Such a complementary DAC

62
eases the design requirement on the common feedback loop of the opamp of the active RC and gmC integrators of the loop filter. Figure 39 shows the high level interconnection of the four switches and two complementary current sources used in the proposed feedback DAC architecture. Additionally since the first DAC is especially critical for the performance of the ADC a self calibration scheme has been proposed.

![Figure 39 A high level representation of the proposed feedback DAC Architecture](image)
This self calibration scheme equalizes the up and down currents thereby reducing the second order distortion caused by such a mismatch, which causes noise folding and degradation of the SQNR/SNDR of the ADC. The Iup current sources are implemented us PMOs while the Idn current source are implemented using NMOS. An RZ pulse is used to control the switching of the DACs, due to its increased tolerance to transient mismatches (ISI). The proposed RZ timing control of the DACs is shown in the figure 38.

4.5.1. First Feedback Design and Self Calibration

The design of the feedback DAC is constrained by three major factors: current mismatch device noise, and switching transients. These factors dictate the achievable performance of the ADC, this is especially true for the first DAC, since all its non-idealities appear directly at the input of the ADC without being shaped by the gain of the loop filter. The DAC1 schematic shown in Fig. 29, has the most stringent requirements because it is directly applied to the modulator input nodes. In particular, DAC1 should be as linear as the whole system. Dynamic current calibration and glitch optimization is used to overcome DAC1’s non-idealities [33]. Moreover, current scaling is implemented for power/SNR optimization. A bias circuit generates gate voltages for the NMOS and PMOS current sources in the circuit. However, when the current sources are scaled up to generate the required DAC output, the NMOS and PMOS transistors can scale differently leading to a current mismatch. Such a mismatch not only raises the
noise floor of the ADC, but could also create second harmonic distortion. In
general in CMOS process 8-10 bits of matching is achievable using proper design
and layout techniques. As such current calibration is needed to get higher than 10
bit accuracy, in our case since the quantizer is only 1.5 bits, the DAC1 non-
linearity contributes to a 2\textsuperscript{nd} order distortion for the ADC.

The current calibration principle being used shown in the figure 40 is based upon
the self calibration approach first presented by D. Wouter [34]. In calibration
mode the two S\textsubscript{1} switches close, forcing the reference current to be equalized to
the total current flowing thru the transistors M\textsubscript{1} and M\textsubscript{2}. Since the current thru
M\textsubscript{2} is usually about 95-97\% of the $I_{\text{ref}}$, the rest of the $I_{\text{ref}}$ current flows through the
M\textsubscript{1}, by charging the hold Capacitor to a $V_{gs}$ to support that current. In the output
mode the switch S1 is opened while the switch S2 is closed allowing the
equalized current to flow out. In this scheme a reference current source and extra spare current source is needed, which is being calibrated in the background while the DAC operates continually without interruption. With this calibration scheme one way correction is possible, since we are adding current, so one has to estimate the mismatch and deliberately skew the nominal current to be less than $I_{\text{ref}}$.

$$I_{\text{out}} = (I_{\text{ref}} \pm \delta I_{\text{ref}}) + I_{\text{cal}} \quad (4.6)$$

$$I_{\text{cal}} \geq \delta I_{\text{ref}} \quad (4.7)$$

$$\delta I_{\text{ref}} = f(\sigma V_t, \frac{\sigma W}{L}, \sigma V_{\text{ds}}) \quad (4.8)$$

Figure 40  Basic Self Calibration Scheme
As evident from eq 3.9/3.10 the $I_{\text{cal}}$ has to be large enough to be able to cover for all the source of mismatches, on the other hand a large $I_{\text{cal}}$ would require a large value of $g_m$ for $M_1$, which makes the current more sensitive to $V_{gs}$ variations. This becomes a major bottleneck for this uni-directional calibration scheme. A differential bidirectional calibration scheme has been proposed by Razavi [35] which circumvents some of these issues.

A unidirectional self calibration scheme is proposed in this thesis which is shown in figure 32, which equalizes the up and down currents by having a PMOS side calibration, to avoid the $1/f$ noise from affecting the ADC performance. The up and down currents are equalized by closing the $S_z$ switches, which forces a current $I_{\text{cal}}$, thru $M_2$ by charging the $C_{\text{H}}$ capacitor to a $V_{g\text{cal}}$. By using this scheme, an extra current source is not needed, which saves power and die area. Additionally since the calibration is done every return-to-zero phase, there is no additional time needed for the calibration.
Figure 41 Proposed Self Calibration Scheme
The calibration current is chosen to be about 4% less than the down current. The value of the hold capacitor is a function of the leakage current and the charge time, since we will calibrating every return-to-zero phase, a large value of hold capacitor is not needed. During the calibration phase, the switches controlled by $Q_{zp}$ are closed. The up current $I_{qp}$ and the down current $I_{dn}$ are equalized while all the $Q_{zp}$ switches closed. The equalization is implemented by forcing a voltage $V_{gcal}$ on the hold capacitor $C_H$ to compensate for the mismatch in the up PMOS and down NMOS devices. During normal DAC operation, $Q_{zp}$ switch is open and the capacitor holds the calibrated gate voltage. Usually, in a conventional dynamic calibration DAC, two identical DACs are designed. [33]
During one clock phase, one of the DACs is calibrated and then during the next phase, the calibrated DAC is used in the feedback. Meanwhile, the other DAC is calibrated. The major difference between this implementation and previous DACs is that the calibration is done during the return-to-zero phase, plus no additional spare DAC is used.

Noise specifically flicker noise is another major limiter on the performance. Since a cascoded DAC is used as shown figure 35, in order to achieve the required impedance, using long devices (L >> 1 um) for the tail device helps reduce the flicker noise. We ended up using an L= 2um an optimum point for reducing the flicker noise and scaling the width appropriately to keep the device saturated without making the area too big.

![Figure 43. Feedback DAC input referred noise](image-url)
The total DAC current noise of the structure shown in Figure 35 would be a RSS sum of the NMOS and PMOS tail devices is given below

\[ i_{n,\text{dac}}^2 \approx \left( \frac{8}{3} \gamma kT g_{m,n} + \frac{K g_{m,n}^2}{WLC_{0x}^2 f} \right) \]

\[ + \left( \frac{8}{3} \gamma kT g_{m,p} + \frac{K g_{m,p}^2}{WLC_{0x}^2 f} \right) \]  

(4.9)

The DAC1 noise is the limiting factor for the performance of the ADC as evident from figure 33 which shows the SNR of the DAC1 to be 70.5 dB at the highest current setting. Since the cumulative noise; thermal and flicker noise of the DAC1 tends to limit the noise floor of the ADC, the current of the DAC is scaled to lower the thermal noise of the DAC1. The DAC1 has essentially three
current settings to scale the thermal noise floor as shown in the figure 34. With this current scaling the SNR of the DAC1 can vary from 70.5 dB to 68.8 dB.

The cumulative effect of all the non-idealities results in limiting the performance of the CT sigma delta to around 69 dB as shown in figure 35. It raises the thermal noise floor to by about 30 dB VS the ideal macro model based DAC.

Figure 45. Feedback DAC input referred noise
4.5.1. Other Feedback DAC Design

DAC2, DAC3 and DAC4 requirements are more relaxed than DAC1 because the gain of each preceding integrator stage reduces the impact of the corresponding DAC’s limitations [26]. Fig. 27 shows the implemented unity current cell of these DACs. Dynamic current calibration is not used in this case, however; a diode-based common-mode hold circuit is used to avoid the DAC common-mode drift. During the zero phase, the PMOS current source and the NMOS current source are connected to each other and because of the mismatches between the current sources with their inherent high output impedance; the output can drift.

Figure 46. Schematic diagram of the other feedback DACs (2,3 &4)
either to $V_{dd}$ or $V_{ss}$. This results in unwanted voltage transients on the integration nodes, once the DAC is connected to them. Such voltage transients in-turn amplify the glitches on the current pulses raising the noise floor of the modulator.

The diode divider sets this common mode to a known voltage in less than half of a clock period so that neither of the current sources is pushed out of saturation. The common mode keeper settles to 0.6V in less than 0.5 us, and the current is about 4% to match the mismatch b/w the up and down currents. This scheme reduces

Figure 47. Transient simulations of the common mode keeper showing the glitches being generated in the zero state.
transient glitches, thereby improving modulator stability and the overall SQNR of the modulator. The transient behavior of the common mode keeper is shown in figure 38, the DAC4 has the biggest glitch on the common mode node. But since this shaped by the gain of all the preceding stages of the CT loop filter, it has a minimal effect on the performance of the modulator. As shown in figure 39, the overall impact of the DAC non-linearity on the performance of the modulator is to raise the noise floor by less than 5 dB.

Figure 48. Impact of the DAC4 nonlinearity to the performance of the Modulator.
CHAPTER 5

5. TEST SETUP AND MEASUREMENT RESULTS

A two-layer pcb board was designed to test the dual channel DHA system, the top layer was used for signal routing mostly the bottom layer was divided into the islands of VSS, digital VCC and analog VCC. Special consideration was given to the placement of the decoupling capacitors for the supplies; in fact the board design had to be redone to ensure that the decoupling capacitors are close enough so that the series impedance does not minimize their impact.

The test setup used to evaluate the prototype dual channel DHA is shown in the figure 36. An analog waveform is created in Matlab and driven thru the 16-Bit DAC in the AWG400 to the input of a low pass filter with a corner frequency of 10 kHz. An AD1838 is used for single to differential conversion to couple into the differential signal path of the DHA system. The two bit digital output generated the by the CT-ΣΔ modulator is sampled and stored into the memory of the Logic Analyzers and then downloaded to a PC for post processing.

Figure 49. Test setup for measurement and evaluation of the DHA/SD ADC
A die photo of the fabricated prototype dual channel DHA system on a 0.25-μm CMOS is shown in figure 20. The active silicon area is 0.9 mm$^2$ and the total chip area is 3.1 mm$^2$. 

Figure 50. Die photos of the DHA System depicting the (a) The Dual Channel Implementation (b) Single channel details showing the VGA, Active RC and DACs on a 0.25-μm.
Coming up with the ADC clock signal source with low enough jitter was one of the key challenges. We used a Si time clock chip SiT8102, which has sub 1ps rms jitter in the audio band for output frequency of 1 MHz. This was to ensure that the clock jitter is not a performance limiter for the ADC.

The die photo of the single channel is shown in figure 34 which shows the VGA, the loop filter and the DACs/Quantizer highlighted. Each feedback DAC is placed closed to the particular feedback point in the loop filter, while the Quantizer is placed centrally this allows for minimal routing of the analog signals, while keeps the delay of the quantizer signal to be equal to all the DACs.

Fig. 38 shows the measured $\Sigma \Delta$ modulator SNR against input amplitude. Fig. 39 shows the measured signal transfer function of the $\Sigma \Delta$ modulator. The measured frequency response is flat over the 10 KHz bandwidth, and does not show any frequency peaking. For these Si measurements the input frequency is 3.78 KHz, the analog bandwidth is 10 KHz, and the sampling frequency is 1 MHz.

The prototype ADC dissipates 106 uW from 1.2V supply, to achieve a peak SNR of 68 dB, a 65 dB SNDR, and 60 dB THD respectively.
Figure 51: Measured SNR in dB Vs input Signal Amplitude.

Figure 52: Measured transfer curve of the Sigma Delta ADC showing no peaking and channel gain flatness.
A fast fourier transform (FFT) of the ADC output with a 3.784 KHz signal -2.4 dBFS is shown in Figure 40. Fourth-order quantization noise shaping is visible in the frequency range from 10KHz to 100KHz. The second order is clearly visible which seems to be raising the noise floor and limiting the dynamic range of the ADC. The Noise floor in 300 Hz to 100 KHz is increased primarily due to noise folding and DAC thermal noise. The odd and even order distortions are artifacts caused by the mismatches in the DAC unit element currents and switching transients. Additionally the 2$^{\text{nd}}$ order distortion has a significant in-band impact and may also result in raising the noise floor due to noise folding. As such Figure 54 shows that with the DAC Self calibration turned the 2$^{\text{nd}}$ order distortion is reduced to the thermal noise floor.
Figure 54: Measured 2\textsuperscript{nd} order harmonic distortion of a) without calibration enabled b) with calibration enabled.
CHAPTER 6

6. CONCLUSION AND FUTURE WORKS

The measured results match the simulation results very well and clearly show that
the SNR of the ADC was limited by the thermal and flicker noise floor of the
feedback DAC. This was confirmed by simulating the SNR of the feedback DAC
which is at around 70 dB. The self calibration of the DAC up and down current
pulses worked, significantly reducing the 2\textsuperscript{nd} order harmonic distortion.

The opamp topology used in the variable gain amplifier is prone to instability;
hence it would be conducive to change that to full class AB amplifier.

The future work of this thesis would be to implement the designed Microphone
interface circuit with rest of analog front end. A multi-chip module method could
be used to connect the MEMS microphone to interface circuit. Additionally DSP
should be implemented to compensate for any gain mismatch b/w the two analog
signal chains for improved directivity. It also possible to combine the VGA
functionality with the FDDA based microphone interface circuit.
REFERENCES


